



A New Vision for Retirement Plan Services

Date:

RTQ Y/N

Plan Name

Participant Email

Advisor Name

EMPLOYEE INFORMATION

Name:	Age:	Target Retirement Date:
Salaried or Hourly: (Please circle one)	Annual Salary or Hourly Rate:	
Current Contribution Rate: % _____ \$ _____	Total Personal Retirement:	

Answer these questions to the best of your ability.

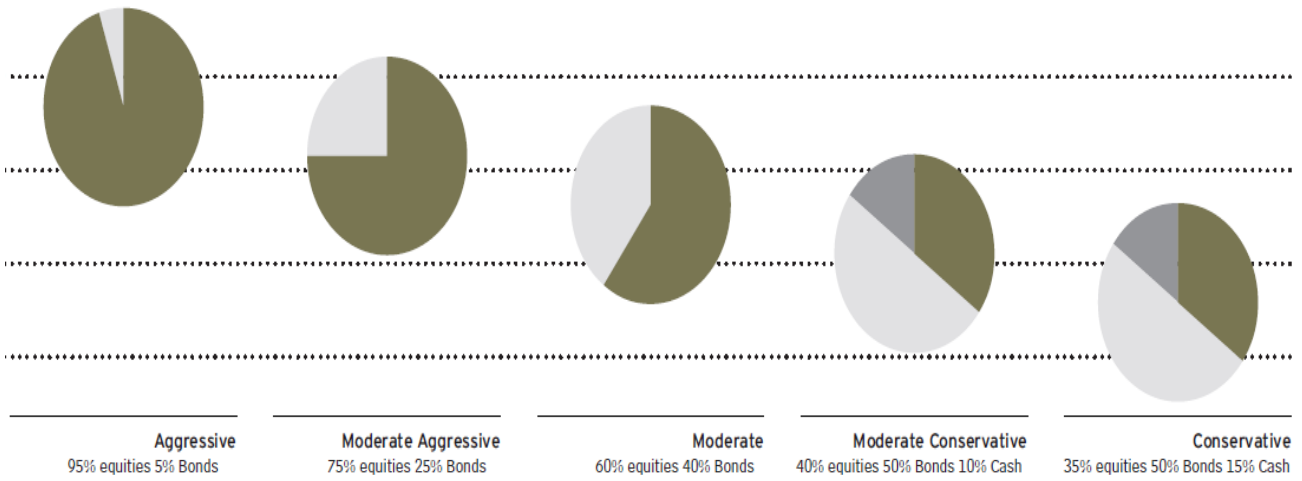
- You have saved for a big vacation. Two weeks before your departure, you lose your job. What do you do?
 - Cancel your vacation. (1)
 - Make plans for a modest vacation at the beach instead. (2)
 - Go as scheduled, reasoning that job hunting will go better after a good vacation.(3)
 - Extend your vacation and plan a real blowout, this might be your last opportunity to go first-class. (4)
- You are financially responsible for:
 - Both children and spouse/partner or relatives that you support. (1)
 - Bills split with working spouse/partner and children or relatives that you both support. (2)
 - Only yourself. (3)
 - Bills split with working spouse/partner, no dependents. (4)
- Which statement best describes you?
 - I don't see any point in saving. (1)
 - I'd like to save something, but there's never anything left over. (2)
 - I try to save a little whenever I can. (3)
 - I save 5 percent or more of my income, regardless of other circumstances. (4)
- You receive your quarterly statement and notice your original \$50,000 balance has dropped to \$40,000 over the quarter. You:
 - Increase your contributions while the market is down.(4)
 - Sit tight. (3)
 - Reduce exposure to risky asset classes and reallocate to less volatile asset classes (2)
 - Pull all of your money out of the markets in hopes of not losing more. (1)
- Describe your investment knowledge? Choose one:
 - I am a knowledgeable investor who's able to explain concepts such as standard deviation and risk.(4)
 - I understand investment basics and stocks, bonds and mutual funds (3)
 - I have only a vague idea about financial terminology and investments. (2)
 - I never get into financial discussions because I don't understand any of the concepts. (1)
- Your non-retirement savings are:
 - Nonexistent. (1)
 - Just in their beginning stages and equal to six months' income or less. (2)
 - Greater than six month's income but less than two year's income (3)
 - At least equal to two year's income. (4)

Risk Score	< 8	8-16	17+
	Low Tolerance For Risk	Average Tolerance for Risk	High Tolerance for Risk

Employee Signature

Date

Advisor Worksheet:



Time to Retirement

Less than 10 years		Moderate Aggressive	Moderate	Moderate Conservative	Conservative
Between 10-20 years	Aggressive	Moderate Aggressive	Moderate	Moderate Conservative	
More than 20 years	Aggressive	Moderate Aggressive	Moderate		

Notes:

- Contributions +/- From: To:
- Rollover
- Nest Egg Calculation 80% Income Replacement
- Model Overview
- Roth Calculation
- Difference In Paycheck
- Elite Lifestyle Workbook

Action:

